

No. K-14014/3/2015-UPA/ FTS 12523
Government of India
Ministry of Housing and Urban Poverty Alleviation
(UPA Division)

Nirman Bhavan, New Delhi
Dated: 13th July, 2017

OFFICE MEMORANDUM

Sub.: Amendments to Operational Guidelines of Deendayal Antyodaya Yojana - National Urban Livelihoods Mission (DAY-NULM) - reg.

The undersigned is directed to refer to the above-mentioned subject and to inform that the Operational Guidelines, of components of DAY-NULM, mentioned below stand amended with the approval of Governing Council (GC) held on 31st May, 2017.

1. Operational Guidelines of Employment through Skill Training and Placement (EST&P) under DAY-NULM

The operational guidelines were issued vide O.M. No.K-14014/58(8)/2012-UPA dated 13th December, 2013. These were amended through O.M. No.K-14014/58(8)/2012-UPA(FTS-7791) dated 8th June, 2015, OM No. K-14011/7/2013-UPA/FTS-9789 dated 3rd August 2015 and O.M.No.K-14014/3/2015-UPA dated 18th February 2016. Further, an additional clause 5.1. vii (Convergence of EST&P with PMKVY 2.0) was added to the amended EST&P guidelines vide OM no. F-No.K-14012/15/2016-UPA FTS – 16320 dated 22nd August 2016.

Further, in accordance with the recent guidelines/clarification on the Common Norms issued by the Ministry of Skill Development & Entrepreneurship and the decisions taken by this Ministry, the following amendments are being issued:

SN	Existing provision	Amended Provision
1)	Clause 2.4: Course Duration The duration of various types of skill development training shall be: 1. Training for fresh entrants will be minimum 200 hours (including practical and/or on	Clause 2.4: Course Duration The duration of various types of skill development training shall be: i. Training for fresh entrants will be minimum 200 hours (including practical

	<p>the job training) except where prescribed by any Statute or as specifically approved by the Ministry.</p>	<p>and/or on the job training) except where prescribed by any Statute or as specifically approved by the Ministry. The maximum and minimum number of hours of training permissible per day is 8 hours and 4 hours respectively. In case of residential training, however, a minimum of 8 hours training per day is mandatory. The cost norms to STPs may be worked out accordingly by the States.</p>
<p>2)</p>	<p>Clause 2A: Outcome of skill development programmes</p> <p>The outcome from skill development programmes will be as under:</p> <p>i. Outcome for training of fresh entrants will include all the following:</p> <ol style="list-style-type: none"> 1. Employment (both wage employment and self-employment) on an annual basis of at least 70% of the successfully certified trainees within three months of completion of training, with at least 50% of the trainees passing out being placed in wage employment; provided that SULMs shall have freedom to alter the percentage of wage and self-employment based on the nature of activity, local economy, social conditions, etc. with intimation to the Ministry. 	<p>Clause 2A: Outcome of skill development programmes</p> <p>The outcome from skill development programmes will be as under:</p> <p>i. Outcome for training of fresh entrants will include all the following:</p> <ol style="list-style-type: none"> 1. Employment (both wage employment and self-employment) on an annual basis of at least 70% of the successfully certified trainees within three months of completion of training, with at least 50% of the trainees passing out being placed in wage employment; provided that SULMs shall have freedom to alter the percentage of wage and self-employment based on the nature of activity, local economy, social conditions, etc. with intimation to the Ministry. In case of all types of disabilities except intellectual disabilities, at least 60% of the successfully certified trainees have to be provided

	<p>1. In case of wage employment, candidates shall be placed in jobs that provide wages at least equal to minimum wages prescribed and such candidates should continue to be in jobs for a minimum period of three months, from the date of placement in the same or a higher level with the same or any other employer.</p>	<p>employment within three months of completion of training. In case of intellectual disabilities, at least 40% of the successfully certified trainees have to be provided employment within three months of completion of training.</p> <p>1. In case of wage employment, candidates shall be placed in jobs that provide wages at least equal to minimum wages prescribed and such candidates should continue to be in jobs for a minimum period of three months, from the date of placement in the same or a higher level with the same or any other employer. For the purpose of wage employment, enrolment of candidates for apprenticeship and Work permit (work visa) for overseas employment would also be treated as proof of employment. In case the employer pays minimum wages in terms of Cost to Company (CTC), at least 80% of CTC should comprise cash in hand, of the candidate.</p>
<p>3)</p>	<p>Clause 3: Cost & Payment norms</p> <p>i Base cost</p> <p>1. The present base cost for training is as follows: Category 1 -Rs.38.50 /hr Category 2 -Rs.33.00 /hr Category 3 - Rs.27.50 /hr</p> <p>The base cost with effect from April 1, 2016 for training will be as follows:</p>	<p>Clause 3: Cost & Payment norms</p> <p>i Base Cost</p> <p>a. The base cost with effect from April 1, 2017 for training will be as follows:</p> <p>Category 1 - Rs. 42.42/hr Category 2 - Rs. 36.44/hr Category 3 - Rs. 30.35/hr</p>

	<p>Category 1 - Rs. 40.40/hr Category 2 - Rs. 34.70/hr Category 3 - Rs. 28.90/hr</p>	
4)	<p>Clause 3: Cost & Payment norms</p> <p>3.ii. Additional Support to Special Areas/groups</p> <p>b Training of PwDs</p> <p>Training of PwDs: Over and above the Base Cost, an additional amount equal to 10% of the Base Cost should be provided for skill development programmes imparted to PwDs. Provided that in the case of training of PwD in Special Areas, the total cost permissible will be 120% of the Base Cost.</p>	<p>Clause 3: Cost & Payment norms</p> <p>3.ii. Additional Support to Special Areas/groups</p> <p>b. Training of PwDs: Over and above the Base Cost, an additional amount equal to (i) 10% of the base cost should be provided for skill development programmes imparted to Orthopedically disabled/Physically disabled, (ii) 20% over and above the base cost in case of Visually Impaired/Hearing Impaired and (iii) 25% over and above the base cost in case of Intellectual & learning disabilities, Mental Illness/ Mental Retardation. Provided that in case of Special Areas, the total cost permissible will be 120% of the base cost for Orthopedically disabled/Physically disabled, 130% of the base cost for Visually Impaired/Hearing Impaired and 135% of the base cost for Intellectual & learning disabilities, Mental Illness/ Mental Retardation. Besides, Training Providers providing training to PwDs shall be eligible for Rs.5000/- per PwD candidate for Job outreach activities to enable them to reach out to potential employers through various outreach methods.</p>
5)	<p>Clause 3: Cost & Payment norms</p> <p>iv. Refundable Security Deposit</p> <p>a. All candidates have to</p>	<p>Clause 3: Cost & Payment norms</p> <p>iv. Refundable Security Deposit</p>

provide a refundable security deposit. The courses for various NSQF levels shall be charged as per the table below:

Level 5 and above	Rs 1000
Level 3 & 4	Rs 500
Level 1 & 2	Rs 250

b. The amount would be refunded to every candidate who completes the training programme and is successfully certified. Proof of refund of security deposit should be taken from Training Provider along with claims of training costs.

This clause is kept in abeyance till further advice.

6) Clause 3: Cost & Payment norms

v. Transport costs

a. For candidates from Special Areas undergoing training outside such Special Areas, to and fro transport cost as per actuals, subject to a maximum of Rs. 5000/- per trainee, **shall** be payable.

b. Conveyance cost for Women & PwDs: Upon successful completion of non-residential skill training programmes, and after certification, all women candidates as well as PwDs will be reimbursed the cost incurred in travelling to and from the training centre at the following rates:

Training	Rs.1000/-
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Clause 3: Cost & Payment norms

v. Transport costs

a. For candidates (**including PwDs**) from Special Areas, undergoing training outside **district of** such Special Areas, to and fro transport cost as per actuals, subject to a maximum of Rs. 5000/- per trainee, **may** be payable.

b. Conveyance cost for **BPL**, PwDs & Women (**hereinafter referred to as "Special Groups"**): Upon successful completion of non-residential skill training programmes, and after certification, all **Persons Below Poverty Line (BPL)** and Women candidates will be reimbursed the cost incurred in travelling to and from the training centre at the following rates:

centre within district of domicile	per month
Training centre outside the district of domicile	Rs.1500/- per month

Distance Training centre within district of domicile	Rs.1000/- per month
Training centre outside the district of domicile	Rs.1500/- per month

Transport and conveyance costs for persons with disabilities (PwDs) shall be as given in points 1 & 2 of Annexure III.

7) Clause 3: Cost and payment norms

viii. Post placement support

In order to enable the newly skilled persons to settle into their new jobs/vocations, post placement support would be provided directly to the candidate at the rate of Rs 1500/- per month for the following durations:

PPS @ Rs.1500 per month per person	Men	Women
Placement within district of domicile	1 month	2 months
Placement outside the district of domicile	2 months	3 months

Clause 3: Cost and payment norms

viii. Post placement support (PPS) for **Special Areas/Groups for wage employment**

In order to enable the newly skilled persons **from Special Groups (except PwDs)** to settle into their new jobs/vocations **under wage employment**, post placement support would be provided directly to the candidate at the rate of Rs 1500/- per month for the following durations:

PPS @ Rs.1500 per month per person	Men	Women
Placement within district of domicile	1 month	2 months
Placement outside the district	2 months	3 months

		<table border="1" data-bbox="831 203 1283 271"> <tr> <td>of domicile</td> <td></td> <td></td> </tr> </table> <p>Provided the placement is made within three months of certification and post validation of placement of the candidate.</p> <p>PPS cost for Persons with disabilities shall be as per point 3 of Annexure - III</p>	of domicile							
of domicile										
8)	<p>Clause 3: Cost and payment norms</p> <p>New sub-clause to be added</p>	<p>Clause 3: Cost and payment norms</p> <p>New clause 3. x to be added</p> <p>"3.x. Support for Uniforms: For candidates undertaking training under EST&P, support for uniforms is permissible up to Rs.1000/- per candidate in case of a training course having duration of 6 months or less, and Rs.2000/- per candidate in case of a training course having duration of more than 6 months.</p> <p>Support for uniforms for PwDs shall be as given in point 4 of Annexure III."</p>								
9)	<p>3A: Fund Flow mechanism</p> <p>i. The payment terms for STPs will be as per the table provided below:</p> <table border="1" data-bbox="300 1675 769 1951"> <thead> <tr> <th><i>Installment and % of cost</i></th> <th><i>Output parameter</i></th> </tr> </thead> <tbody> <tr> <td><i>1st Installment:</i> 30% less</td> <td>Commencement of training batch</td> </tr> </tbody> </table>	<i>Installment and % of cost</i>	<i>Output parameter</i>	<i>1st Installment:</i> 30% less	Commencement of training batch	<p>3A: Fund Flow mechanism</p> <p>i. Schedule of release of payments</p> <p>"3A.i.a. The payment terms for STPs will be as per the table given below. The release of funds could be batch-wise or as per project MoU.</p> <table border="1" data-bbox="831 1749 1331 1944"> <thead> <tr> <th>Installment and % of cost</th> <th>Output parameter</th> </tr> </thead> <tbody> <tr> <td>1st</td> <td>On</td> </tr> </tbody> </table>	Installment and % of cost	Output parameter	1st	On
<i>Installment and % of cost</i>	<i>Output parameter</i>									
<i>1st Installment:</i> 30% less	Commencement of training batch									
Installment and % of cost	Output parameter									
1st	On									

aggregate amount of refundable security deposit collected from each trainee	
<i>2nd Installment:</i> 30 %	Utilisation of 70% of first installment and at least 70% of candidates continuing
<i>3rd Installment:</i> 20% plus aggregate amount of refundable security deposit collected from each certified trainee	On completion of training and certification of successful candidates (Proof of refund of security deposit should be taken from Training Provider).
<i>4th Installment:</i> 20%	Based on outcomes as described in 2A as per details given below

ii. The 20% of training cost (4th installment), which is linked to...

Installment: 30%	commencement of training batch against validated candidates
2nd Installment: 50%	On Successful certification of the trainees
3rd Installment, 20%	Based on outcomes as described in 2A as per details given in 3A.ii.

b. The above payment schedule is subject to the following:

(i) It is applicable only for fresh training

(ii) The second tranche of 50% will be calculated on the basis of total cumulative 80% payment for candidates actually certified

(iii) The dropouts will not be considered for 2nd and 3rd tranche. The 1st tranche payment of the dropouts is adjusted in next tranche.

“ii. The 20% of Training cost (3rd Installment), which is linked to...”

2. Operational Guidelines of Scheme for Urban Homeless (SUH) under DAY-NULM

The operational guidelines of the Scheme for Urban Homeless (SUH) component of Deendayal Antyodaya Yojana- National Urban Livelihoods Mission (DAY-NULM) issued vide O.M. issued vide O.M. No.K.-14014/58 (19)2012-USD dated 13th December 2013 and subsequent amendments were issued vide the following:

- 1.O.M.No.E-14013/1/2013/USD Dated:7th Dec-2015.
- 2.O.M.No.K-14011/7/2013-UPA Dated:3rd Aug-2015
- 3.O.M.No.K.14011/2/2012-UPA Dated :19th Feb2016
- 4.O.M.No.K.14012/1/2016-UPA Dated :22nd Aug2016

In continuation of above, the relevant Clauses of the Operational Guidelines of SUH have been amended further as follows:

SN	Existing Provision	Amended Provision
1.	<p>Clause 6: Location of Shelters</p> <p>New sub-clause to be added</p>	<p>New Clause 6.4 added</p> <p>In case of the non-availability of suitable land for construction of shelters near to the places of congregation of homeless, suitable buildings can be taken on rent for operating as an interim arrangement and efforts need to be made by the States/ UTs to provide permanent shelters to homeless by the year 2022 as per DAY-NULM guidelines.</p> <p>Further, the cost of refurbishment of buildings taken on rent may be borne from funds available under SUH component of DAY-NULM with the approval of PSC of the respective State. The PSC will estimate the suitable rent by taking into account the PWD rates/ prevailing market rate.</p>

3. Operational Guidelines of Capacity Building and Training (CB&T) under DAY-NULM

The operational guidelines of the Capacity Building and Training (CB&T) component of Deendayal Antyodaya Yojana- National Urban Livelihoods Mission (DAY-NULM)

issued vide O.M. issued vide O.M. No.K.-14014/58 (19)2012-UPA dated 18th December 2013 and as amended vide O.M. K-14011/7/2013-UPA dated 3rd August, 2015 and are being further amended as follows:

SN	Existing Provision	Amended Provision
1.	<p>Clause 6.4 (a) In order to attract the best talent with suitable experience in the open market, a maximum consolidated remuneration of Rs. 100,000 per month will be given to the technical experts at the national level who fulfils the experience and education qualifications norms laid out for the position.</p>	<p>Amendments in Clause 6.4 (a) In order to attract the best talent with suitable experience in the open market, a consolidated remuneration, in the range of Rs. 120,000 to Rs. 150,000 per month, with a provision of 5% enhancement on an annual basis, will be given to the technical experts at the national level who fulfil the experience and educational qualifications' norms laid out for the position. The remuneration within the given range will be arrived at after negotiation with the experts.</p>
2.	<p>Clause 6.4 (c) A maximum remuneration of Rs. 10,000 per month will be given to COs at city level.</p>	<p>Amendments in Clause 6.4 (c) A maximum remuneration of Rs. 15,000 per month or minimum wages as applicable in the State/UT, whichever is higher, will be given to COs at city level.</p>
3.	<p>Clause 8.2 <i>The total expenditure on the CB&T component shall not exceed 12% of the total allocation under NULM for the State/UT.</i> The Ministry of HUPA, taking in account actual expenditure by the state, may reduce the total number of technical experts to be positioned in a state so that the expenditure on the CB& T component is not disproportionately high compared to the other components of the mission in the State.</p>	<p>Amendments in Clause 8.2 The Ministry of Housing and Urban Poverty Alleviation, taking in account actual expenditure by the State, may reduce the total number of technical experts to be positioned in a state so that the expenditure on the CB&T component is not disproportionately high compared to the other components of the mission in the State.</p>

4. In case of any contradictions between these amendments and any of the provisions in the previous amendments to operational guidelines of DAY-NULM these amendments shall prevail.

A. Mittal

(Archana Mittal)
Director (UPA-I)
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To

1. The Principal Secretary/Secretary(UD/MA),
All States/UTs (As per List Attached)
2. The Mission Directors(DAY-NULM),
All States/UTs (As per list attached)

Annexure –III: Additional incentives for Persons with Disabilities (PwDs).

1. Transport Cost

For PwDs from Special areas (as in the case of persons BPL and Women), undergoing training outside district of such Special Areas, to and fro transport cost as per actuals, subject to a maximum of Rs. 5000/- per trainee, may be payable."

2. Conveyance Cost

In case of Persons with disability, the conveyance cost will be as below:

Distance of the training centre from residence of the trainee	Amount
With in 10 Kms	Rs.1,000/- per month
Within 15 Kms	Rs.1,500/- per month
Within 20 Kms & above	Rs. 2,000/- per month

3. Post Placement Support (PPS):

In case of PwDs, post placement support will be as follows:

PPS @ Rs.3000 per month per person	Men/women
Placement within district of domicile	2 months
Placement outside the district of domicile	3 months

4. Support for Uniforms:

In case of support for Uniforms to PwDs, Rs. 3000/- per PwD candidate, which includes one pair of shoes or other assistive clothing, two pairs of uniforms and need based assistive aids shall be permissible irrespective of the duration of the course.

5. Personal Assistive Aids

Each PwD candidate shall be eligible for up to Rs. 5000/- for personal assistive aids. such as wheel chairs, calipers, hearing aids and software such as Jawa/other speech interpretation, other reading software etc.